

# HilltopSecurities Clearing At A Glance

HilltopSecurities Clearing supports Broker-Dealers and Registered Investment Advisers in their growth and efficiency. Our introducing firms thrive on our partnership and dedicated service as well as robust technology, broad advisory services, and diverse products.

## WHO WE SERVE



Broker-Dealers



Institutional Firms



RIA Firms



Wealth Management Practices

- Over 50 years of Correspondent Clearing
- Partnership Approach
- Access to Senior Management
- Part of a Diverse Business Model
- Advisory Services with Strong Support
- Deep Understanding of Capital Markets and Fixed Income
- Technology Stack with Subject Matter Experts
- Knowledgeable and High Touch Relationship Management and Operations

## BY THE NUMBERS

**100+**

Introducing Firms on Platform<sup>1</sup>

**70/30**

Wealth Management/Institutional Mix of Introducing Firms<sup>1</sup>

**14 years**

average tenure in operations and clearing

**40%**

of HilltopSecurities' Clearing team members are tenured 20+ years

1. As of Aug. 1, 2023.

## POWERED BY TECHNOLOGY - HILLTOPSECURITIES PLATFORM AND MARKETPLACE



**In addition, interfaces are available for most industry partners.**



# A Strong Legacy



## ABOUT HILLTOP HOLDINGS

Hilltop Holdings was founded in 1998 as Affordable Residential Communities Inc., a real estate investment trust, before going public in 2004. The company changed its name and business model in 2007 to begin focusing on bank acquisitions and the creation of a diversified financial services holding company positioned for long term growth.

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